



ISA Transfer Form

BNY Pershing fee code

Account reference

OFFICE USE ONLY

Your personal information

First client:

Name

Second client:

Address

Telephone

Date of birth

Nationality

Postcode

NI number

Email

Your existing plan manager

Manager name

Address

Telephone

Postcode

ISA account
number(s)

Estimated £
transfer £
value(s) £

Email

Transfer instructions

Type of transfer:

Mode of transfer:

Full transfer

In cash

Partial transfer*

In shares/units/where possible

*For partial transfers you must provide specific instructions (below) for which investments to transfer.

Note to existing plan manager:

If an in-specie transfer is not possible please contact Pershing Securities Limited who will advise further.

Additional instructions

Additional instructions

I authorise Pershing Securities Limited, the ISA Manager, to transfer my ISA.

I apply to subscribe to a stocks and shares ISA for the tax year ending April 20_____ and each subsequent year until further notice.

I declare that:

- All subscriptions made and to be made, belong to me.
- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall ISA subscription limit total in the same tax year.
- These transfer instructions also constitute an application to subscribe to Pershing Stocks and Share ISA for the current tax year, and for each subsequent tax year, unless I notify you otherwise.
- I am resident and ordinarily resident in the UK for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Pershing Securities Limited if I cease to be resident and ordinarily resident or to perform such duties or to be married to a person who performs such duties or in a civil partnership with a person who performs such duties.
- The information given in this form has been completed to the best of my knowledge.
- I have received, understood and agree to the Pershing ISA Terms and Conditions, and agree that my account will be operated in accordance with them.

I authorise Pershing Securities Limited:

- To hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of these investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I understand that Pershing Securities Limited will notify me if by reason of failing to meet the provisions of the ISA rules my account is or will become void.

I will inform Pershing Securities Limited of any change of circumstances affecting the information given in this form without delay.

Signed

Date

EQ Investors, Centennium House, 100 Lower Thames Street, London, EC3R 6DL



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